Register No : ________________

THE KAVERY ENGINEERING COLLEGE

(An Autonomous Institution)

MBA DEGREE END SEMESTER THEORY EXAMINATIONS, NOV /DEC 2024

Third Semester

Master of Business Administration

BA4002- Financial Markets

Regulations - 2021

Duration : 3 Hrs *Maximum : 100 Marks*

*PART – A (ANSWER ALL QUESTIONS) (Marks 10*2=20)*

Q.No	Questions	BLT	CO	Marks
1.	Recall the functions of Indian financial system.	RE	1	2
2.	Label the term CCIL.	RE	1	2
3.	Define the term book building process.	RE	2	2
4.	List the types of scripts issued in primary market.	RE	2	2
5.	Name the role of investment bankers.	RE	3	2
6.	Select any two stock exchanges in India.	RE	3	2
7.	Show the features of corporate bonds.	RE	4	2
8.	Find the important role of primary dealers.	RE	4	2
9.	Interpret the term derivatives.	UN	5	2
10.	Rephrase the term mutual fund.	UN	5	2

*PART – B (ANSWER ALL QUESTIONS) (Marks 5*13 = 65)*

Q.No		Questions	BLT	CO	Marks
11.	a	Elaborate the structure of financial markets in India.	CR	1	13
		Or			
	b	Discuss the various money market instruments and their features.	RE	1	13
12.	a	Explain in detail the various methods of floating new issues in primary market.	UN	2	13
		Or			
	b	Classify the various functions of primary markets intermediaries in detail.	UN	2	13
13.	a	Identify the various stock exchange mechanisms and also the basics of pricing mechanism.	AP	3	13
		Or			
	b	Choose the role of SEBI in secondary market in order to protect the interest of the investors.	AP	3	13

14.	a	Analyze the various components of bond market with merits and demerits of each.	AN	4	13
		Or			
	b	Examine the forex risk exposure and basics of corporate forex risk management.	AN	4	13
15.	a	Explain the various types of mutual funds in India with merits and demerits of each.	EV	5	13
		Or			
	b	Evaluate the role of venture capitalist (VCs) and private equities (PEs) in financial markets in India.	EV	5	13

*PART – C (Marks 1*15 = 15)*

Q.No		*Questions*	*BLT*	*CO*	*Marks*
16.	a	Elaborate the following: i) Forwards, ii) Options, iii) Futures and iv) Swaps with examples of each.	CR	5	15
		Or			
	b	Discuss the role of i) BSE, ii) OTCEI, iii) NSE, iv) ISE, v) FIIs, in secondary market.	CR	3	15